

CAROLINE M. BRADLEY
CURRICULUM VITAE

University of Miami School of Law
P.O. Box 248087,
Coral Gables, FL, 33124
Tel: 305 284 2082
Fax: 305 284 6506
cbradley@law.miami.edu
<http://blenderlaw.umlaw.net/>

EMPLOYMENT

Professor of Law, University of Miami, School of Law, 1994 to present.

Chair, University of Miami School of Law LLM program in International Law, 2006 to present (Co-Director 2001-2006)

Visiting Associate Professor of Law, University of Miami, School of Law, 1992-4.

Lecturer in Law with special reference to the Law of International Transactions, London School of Economics and Political Science, 1986-1994. (On special leave during the sessions 1992-3 and 1993-4). Appointed to retirement age from October 1991. Discretionary increments awarded in 1989-90, 1990-91 and 1991-92.

Part-time Lecturer teaching Advanced Corporate and Securities Laws, University of Cambridge, 1986-1987.

Articled Clerk, Freshfields, Solicitors, 1984 -1986.

Visiting Supervisor teaching Conflicts of Laws and EEC Law, Jesus College, Cambridge, 1984-1985.

EDUCATION

LLM. First Class, Jesus College, Cambridge. 1983 -1984

Law Society Final Examinations Course, Manchester Polytechnic. 1982-1983. (Final Examination passed at Summer 1983 sitting.)

BA(Hons), First Class in Law, Jesus College, Cambridge. 1979 -1982. President of the Young European Democrats, Member of the Cambridge Students' Union Executive.

TEACHING

MIAMI:

International Finance
Business Associations
LLM Seminar on Comparative and
International Law
[Securities Regulation]

European Community Law
Introduction to the Global Legal System
Law and Online Games Seminar

[International Business Transactions]

LSE:

UNDERGRADUATE: Law of Business Associations (1986-1992); Law of Property(1986-9); **GRADUATE**
Corporate Securities Regulation (1987-8); Regulation of Financial Markets (1988-1992); Legal Aspects of
International Finance (1988-1992)

PUBLICATIONS

WORK IN PROGRESS

Gaming the System: Virtual Worlds and the Securities Markets, (October, 2007) University
of Miami Legal Studies Research Paper No. 10 Available at SSRN:
<http://ssrn.com/abstract=1022441>

Reconfigured Selves in Self-Regulation

abstract at <http://blenderlaw.umlaw.net/wp-content/uploads/2007/12/bradleyself.pdf>

Financial Education: The Stagecoach and the Highwayman

PUBLISHED AND FORTHCOMING

Dimity Kingsford Smith & Caroline Bradley eds., Symposium on Online Investing and the
Online Consumer, 26 Law & Policy 371-506 (2004)

ARTICLES

Consumers of Financial Services and Multi-level Regulation in the European Union,
forthcoming Fordham Int'l L. J. (2008)

Financial Trade Associations and Multilevel Regulation, forthcoming in Ramses Wessel,
Andreas Follesdal & Jan Wouters eds., MULTILEVEL REGULATION AND THE EU: THE
INTERPLAY BETWEEN GLOBAL, EUROPEAN AND NATIONAL NORMATIVE PROCESSES (2008)

Financial Trade Associations and Multilevel Regulation, Miami European Union Center,
Jean Monnet/Robert Schuman Paper Series, Vol. 5, No. 38 (Jul. 2006) available at
<http://www6.miami.edu/eucenter/Bradley%20Trade%20Associations.pdf>

Information Society Challenges to Financial Regulation 37 U. Toledo L. Rev. 307 (2006)

Virtual Worlds, Real Rules (with Michael Fromkin) in Jack M. Balkin & Beth Simone

Noveck eds., *THE STATE OF PLAY: LAW, GAMES AND VIRTUAL WORLDS* (2006)

Private International Law-Making for the Financial Markets, 29 *Fordham Int'l L. J.* 127 (2005)

Virtual Worlds, Real Rules (with Michael Froomkin) 49 *N.Y.L.Sch. L. Rev* 103 (2004/2005) (<http://www.nyls.edu/pdfs/v49n1p103-146.pdf>)

Online Financial Information: Law and Technological Change
26 *Law & Policy* 375 (2004) in Dimity Kingsford Smith & Caroline Bradley eds., *Symposium on Online Investing and the Online Consumer* 26 *Law & Policy* 371-506 (2004)

Twenty-First Century Anglo-American Partnership Law?
30 *Common Law World Review* 330 (2001)

Demutualization of Financial Exchanges: Business as Usual?
21 *N.W.J. Int'l L.&Bus.* 657 (2001))

Enterprise and Entrepreneurship: the Impact of Director Disqualification
1 *J. of Corp. L. Studies* 53 (2001)

Disorderly Conduct: Day Traders and the Ideology of "Fair and Orderly Markets"
26 *J. Corp. L.* 63 (2000)

Transatlantic Misunderstandings: Corporate Law and Societies
53 *U. Miami L. Rev.* 269 (1999).

Suspension and Disbelief (or, How Managed Should a Market Be?)
26 *Seton Hall L. Rev* 597 (1996). This article was abstracted in Bowne, 10 *DIGEST FOR CORPORATE AND SECURITIES LAWYERS* 3 (June 1996).

Corporate Power and Control in the 1990s: The Transnational Dimension
15 *Oxford Journal of Legal Studies* 269 (1995).

Contracts, Trusts and Companies
in McCahery, Picciotto and Scott (Eds.) *CORPORATE CONTROL AND ACCOUNTABILITY*, Oxford University Press (1993) at 217-230.

The Future of Company Law: Themes and Structures
(with Vanessa Finch and Judith Freedman) in Peter Birks (Ed.) *EXAMINING THE LAW SYLLABUS. BEYOND THE CORE*, Oxford University Press, (1993) at 41-45.

The Market for Markets : Competition between Investment Exchanges
in John Fingleton (Ed.), *THE INTERNATIONALISATION OF CAPITAL MARKETS AND THE REGULATORY RESPONSE*, London: Graham & Trotman, 1992, at 183-196.

Competitive Deregulation of Financial Services Activity in Europe after 1992
11 *Oxford Journal of Legal Studies* 545 (1991).

1992: The Case of Financial Services
12 *Northwestern Journal of International Law and Business* 124 (1991) (excerpted in Stephen Weatherill, *CASES AND MATERIALS ON EEC LAW*, Blackstone Press Ltd., 1992, at 466-470).

Changing Company Law?
53 *Modern Law Review* 397 (1990) (with Judith Freedman).

Corporate Control - Markets and Rules
53 *Modern Law Review* 170 (1990). (Reproduced in S. Wheeler (ed.) *THE LAW OF THE BUSINESS ENTERPRISE*, Oxford University Press, 1995.)

La Disciplina Inglese sull'Ammissione in Borsa di Valori Mobiliari

1990 *Rivista del Diritto Commerciale* 595.
Harmonising Take-over and Merger Regulations within the EEC
7 *Company Lawyer* 131 (1986).

COMMENTS, BOOK REVIEWS etc.

Review of William Twining, GLOBALISATION AND LEGAL THEORY,
31 *Inter-American L. Rev.* 463-467 (2000)
Review of PENNINGTON'S COMPANY LAW, 108 *Law Quarterly Review* 169 (1992)
Review of JUST DESERTS FOR CORPORATE CRIMINALS by Kip Schlegel,
44 *British Journal of Sociology* 732 (1993)
The Siege of Irish Distillers, 10 *Company Lawyer* 70 (1989)
Guinness, Distillers and Regulation, 8 *Company Lawyer* 129 (1987)
Collective Investment Schemes
in *FINANCIAL SERVICES REPORTER* (Bicester: CCH Editions Limited).
International
in *FINANCIAL SERVICES REPORTER* (Bicester: CCH Editions Limited) ¶¶ 78,011-80,054.
Take-overs and Mergers
in *FINANCIAL SERVICES REPORTER* (Bicester: CCH Editions Limited) ¶¶ 55,011-58,602.

CONFERENCE PAPERS AND PRESENTATIONS

Financial Education: The Stagecoach and the Highwayman
paper presented at the Socio-legal Studies Association conference in
Manchester, UK, on March 19, 2008.
Consumers of Financial Services and Multi-level Regulation in the European Union
paper presented at the Fordham International Law Journal's symposium on
Fifty Years of the European Community Law on Feb. 29, 2008.
Gaming the System: Virtual Worlds and the Securities Markets
paper presented at the Law and Society Conference, Berlin, July 2007
(<http://blenderlaw.umlaw.net/wp-content/uploads/2007/07/stockmktgames2007001.pdf>)
Financial Trade Associations and Multilevel Regulation
presentation at the American Branch of the International Law Association's
International Law Weekend 2006, New York, October 28, 2006
Financial Trade Associations and Multilevel Regulation
presentation at an Expert Workshop on Multilevel Regulation organized by
The Hague Institute for the Internationalisation of Law (HiiL), CONNEX
(Connecting Excellence on European Governance) and the Ius Commune
Research School, Netherlands Institute for Advanced Study in the
Humanities and Social Sciences (NIAS), Wassenaar (NL) 27 June, 2006
Changing Money
presentation at Push 2006, Walker Art Center, Minneapolis, June 12, 2006
Financial Services: Recent Developments in the EU and NAFTA
presentation at the Miami European Union Center Symposium on
Comparative Regionalism and the EU, University of Miami, November 4,
2005

Stock Markets in Virtual Worlds

panelist at the State of Play III Conference, New York Law School, October 7, 2005 (video at <http://www.nyls.edu/pages/3903.asp>)

Private International Law-Making for the Financial Markets

paper presented at the Law and Society Conference in June 2005
(http://papers.ssrn.com/sol3/papers.cfm?abstract_id=786227)
(<http://law.bepress.com/expresso/eps/680/>)

EU Enlargement and the ECJ

paper presented at the Miami European Union Center Conference on European Union Enlargement, University of Miami, April 22, 2005

Information Society Challenges to Financial Regulation

paper presented at a conference for The Tenth Anniversary of Cybersecurities Law, University of Toledo, April 8, 2005
(<http://law.utoledo.edu/cybersecurities/April08-05Conf.htm>)

Private International Law-Making for the Financial Markets

paper presented at a Global Governance Symposium at the University of Miami on March 4, 2005

Information Society Challenges to Financial Regulation

paper presented at the Oxford Information Institute on October 7, 2004

Virtual Worlds, Real Rules (with Michael Froomkin)

paper presented at the Telecommunications Policy Research Conference in September 2003, available at
<http://intel.si.umich.edu/tprc/papers/2003/240/VirtualReal.pdf>

Corporate Lawyers and the Rhetoric of Legal Certainty

paper presented at the Law and Society Conference in June 2003

Legal Harmonisation and Legal Cultures: The Case of Take-over Regulation

paper presented at the European Union Studies Association Conference, 2003

Technology, Demutualisation and Stock Exchanges: the Case for Co-regulation

paper presented at Clide/CLTA Conference on Corporations and Financial Regulation in the Digital Economy in Melbourne in February 2002 (see http://papers.ssrn.com/sol3/delivery.cfm/SSRN_ID312157_code020604670.pdf?abstractid=312157)

Virtual Finance and Actual Rules

paper for a symposium to inaugurate the Centre for Law in the Digital Economy at Monash University, Melbourne, Australia, August 2001; subsequent version presented at Law & Society Conference, 2002

Manipulation of Regulatory Structures in the Financial Markets

paper presented at the Law and Society Conference in May 2000

Enterprise and Entrepreneurship: the Impact of Director Disqualification

paper presented at the SPTL Company Law Workshop in Bournemouth, UK, on 12 May, 2000

Disorderly Conduct: The Ideology of "Fair And Orderly Markets" Confronts Technology

paper presented as part of a panel on the Rhetorics of Financial Regulation at the Law and Society Conference in Chicago, in May 1999

The Comparative Challenge for Anglo-American Corporate Law

paper presented at the Socio-Legal Studies Association Conference in Leeds, UK, March 1995, and at the Law and Society Conference in Toronto, Canada, in June 1995

The Recent Development of the Regulation of Insider Dealing in the United Kingdom
paper for a conference held in Catania, Italy, in January 1992

Contracts, Trusts and Companies

paper presented at a conference at Warwick University in June/July 1991

Financial Regulation: Policy and Legal Issues

paper delivered at a seminar held in Prague, Czechoslovakia, in September 1991

The Market for Markets : Competition between Investment Exchanges

paper delivered at a conference held at the London School of Economics in November 1991

PRIZES AND RESEARCH FUNDING

Nuffield Foundation Social Science Fellowship (1 January 1991 to 31 March 1991);
STICERD (the Suntory-Toyota International Centre for Economics and Related Disciplines)
(summer 1991).

Scholarship, Russell Vick Prize, Jesus College, Cambridge, 1982.

Entrance Exhibition, Jesus College, Cambridge, 1979.

OTHER ACTIVITIES AND INFORMATION

Faculty Advisor

International and Comparative Law Review (2000-present)

University of Miami Committee Service

2007-8 Member, University of Miami Law School Dean Search Committee

2006-7 Member, University of Miami Business School Dean Search Committee

University of Miami Law School Committee Service

2007- Chair, Appointments Committee; 2005-7 Chair, International and Foreign Programs Committee; 2004-5 Chair, Visitors and Laterals Committee; 2002-2004

Chair, Appointments Committee; 1999-2001 Chair, Curriculum Committee;

2007-8 Member, Dean Search Committee; 2006-7 Member, Public Interest

Committee; 2000-2004 Member, Appointments Committee; 2002-present

Member, International and Foreign Programs Committee; 1999-2000

Member, Ad-Hoc Committees on the Law School's Mission and Self-Study;

1998-9 Member, Dean Search Committee; 1997-8 Chair, Disabilities Issues

Committee; 2005-6 and 1997-2002 Member, Curriculum Committee.

Overseas Corresponding Editor

Common Law World Review (2001-present)

Executive Committee Member (2000-2003)

Center for Latin American Studies (CLAS), University of Miami

Member, Board of Directors

UM/Canterbury Preschool, 1998-2001; Secretary, 1999-2001.

Academic consultant to the (English) Law Commission for a project on Fiduciary Duties and Regulatory Rules. (1990-92)

External Examiner in Banking Law for City University
(1990, 1991, 1992).

Examiner for the Society of Investment Analysts in Investment Regulation and Practice
(1988-90)

External Examiner for University College, London in Regulation of Financial Markets
(1988-9)

Languages: French (reasonably fluent).